

No. SEC/Enforcement/826/2010/
September 6, 2010

By Special Messenger

Dhaka Stock Exchange Ltd. (DSE)
Stock Exchange Building
9/F, Motijheel C/A
Dhaka-1000

Attention: Chief Executive Officer (CEO)

Sub: Disposal of review petition against Penalty Order: For non-compliance with securities related laws in connection with execution of trade and calculation of index: Warning.

This refers to the DSE's letter no: . DSE-42/2010/843 dated August 01, 2010 and the Commission's Penalty Order no: GmBwm/Gb#dvm©#g>U/826/2010/602 dated July 22, 2010 regarding the above subject.

The Commission has considered the application of Dhaka Stock Exchange Ltd. (DSE) as review petition under section 26 of the Securities and Exchange Ordinance, 1969, and decided to waive penalty imposed vide Commission's aforesaid penalty order with a warning to ensure compliance of all securities related laws in future.

Please note that the waiver of penalty will not absolve DSE from its lawful responsibilities/obligations to any person, if affected, as a result of the above said default/contravention.

For Securities and Exchange Commission

(Mohammad Abul Hasan)
Deputy Director (Enforcement)

Copy for information:

Executive Director (SRMIC/Surveillance), SEC
Director (MIS), SEC
Director (Law), SEC
Chairman's Office, SEC