

**SEC/Enforcement/2010/836/522**

**Messenger**

**June 15, 2010**

9561424

Haji Ahmad Brothers Securities Limited

DSE Member No. 41

Modhumita Cinema Hall building

158-160, Motijheel C/A (5<sup>th</sup> Floor)

Dhaka-1000.

**By Special**

Fax: 88-02-

**Attention: Managing Director/CEO**

Sub: **Non-compliance of securities laws: Regarding enquiry on shares trading of ICBIBANK-Warning.**

This refers to the Commission's show cause cum hearing notice No. SEC/Enforcement/2010/836 /484 dated June 02, 2010 based on which the hearing was conducted on June 09, 2010 and your explanation vide letter No. HABSL/SEC/2010 Dated June 08, 2010.

The Commission, considering the explanation vide letter No. No. HABSL/SEC/2010 Dated June 08, 2010 submitted at the time of hearing, has decided to dispose of the proceedings against Haji Ahmad Brothers Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Securities and Exchange Commission

**Mir Mosharraf Hossain Chowdhury**  
**Director**

**Copy for information:**

Chief Executive Officer, Dhaka Stock Exchange Ltd.

Executive Director (Surveillance), SEC

Executive Director (Registration), SEC

Director (R&D/MIS), SEC

Director (SRI), SEC and

Chairman's Office, SEC