

Av`k

thnZ, Kigkb, AvvdvZ mKDiUR vjgUW (WGb m`m` bs-94) tK Zvi Av`bμtg, wawiz kZvaxb mKDiUR μq weμq KgKvÊ ciiPvjv Kivi Rb` mKDiUR I G·PÄ Kigkb (AZ:ci ÔKigkb e+j DrijLZ) mKDiUR I G·PÄ Kigkb AvBb, 1993 (1993 m`bi 15 bs AvBb) Gi 10(1) G c`Ê flgZve+j mKDiUR I G·PÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Abtgv`Z ciZibia) weagvjv, 2000 Gi weia 5(5) mn civZ ÷K-tevKvi tiRôkb mUldtKU c`vb KtiQ;

thnZ, Kigkb Zvi Av`k bs / Surveillance/ 2009-0561/182 dated December 03, 2009 Gi gva`tg Al-Amin Chemicals Industries Limited, Shyampur Sugar Mills Ltd. and Meghna Pet Industries Limited Gi tkqv`ii A`vfweK tjb`b Z`ši Rb` GKU KigU MVb Kti Ges D<sup>3</sup> KigUi `wLjKZ cizte`b Abhvq AvvdvZ mKDiUR vjgUW (WGb m`m` bs-94) Gi findings and contraventions wæi`c:

“ SHYAMPUR SUGAR MILLS LIMITED:

After randomly checking all the documents of the major clients of Arafat Securities Limited who traded bulk quantity of Shyampur Sugar Mills Limited shares during the period of 01.01.2009 to 09.08.2009, the enquiry officers found some clients who sold their shares before maturity, which is delineated below:

CLIENT CODE	NAME	QTY	BUY DATE	MATURITY DATE	SALE DATE	QTY	SOLD	REMARKS
00017	BAZLUR RAHMAN	500	21.01.09	01.02.09	28.01.09	500	T+5	SHORT SELL OF 500
		500	22.01.09	02.02.09	28.01.09	500	T+4	SHORT SELL OF 500
00126	SIMA AKTER	500	21.01.09	01.02.09	28.01.09	500	T+5	SHORT SELL OF 500
00725	JASHIAM UDDIN	20000	21.01.09	01.02.09	27.01.09	2100	T+4	SHORT SELL OF 2100
					28.01.09	10000	T+5	SHORT SELL OF 10000
					29.01.09	7900	T+6	SHORT SELL OF 7900
01563	MUKUL KHAN	1500	21.01.09	01.02.09	28.01.09	1500	T+5	SHORT SELL OF 1500
01813	ABDUL MATIN	5000	21.01.09	01.02.09	28.01.09	5000	T+5	SHORT SELL OF 5000
03350	KAMRUZZAMAN	2000	21.01.09	01.02.09	27.01.09	2000	T+4	SHORT SELL OF 2000
15313	HAWLADER ABU BAHAR	283900	21.01.09	01.02.09	27.01.09	20000	T+4	SHORT SELL OF 20000
					28.01.09	263900	T+5	SHORT SELL OF 263900
		118100	22.01.09	02.02.09	28.01.09	102800	T+4	SHORT SELL OF 102800

Contravention: Through the aforesaid activities, Arafat Securities Limited violated the Regulation 4 (1) of the “ Dhaka Stock Exchange (Short Sale) Regulations, 2006”.

