

BSEC/Enforcement/2085/2014/ 581
Dated: August 25, 2014

By Courier Service

A.A Securities Limited
CSE Member No. 32
K.N Tower(4th floor)
18, Agrabad C/A
Badamtoli Mour
Chittagong

Attention: Managing Director/CEO

Sub: Non-compliance of securities laws: -Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2085/2014/295 dated June 25, 2014 and Commission letter no. BSEC/Enforcement/2085/2014/346 dated July 22, 2014 based on which the hearing was conducted on August 12, 2014.

The Commission, considering the explanation vide letter dated August 18, 2014 which was submitted after the time of hearing, has decided to dispose of the proceedings against A.A Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Mustafizur Rahman
Assistant Director
Enforcement Department

Copy for information:

Managing Director, Dhaka Stock Exchange Ltd.
Executive Director (SRI), BSEC
Executive Director (R&D), BSEC
Chairman's Office, BSEC