



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
Bangladesh Securities and Exchange Commission

Jiban Bima Tower, 10 Dilkusha C/A, Dhaka-1000, Bangladesh
Phone (PABX): 0088-02 9568101-2, 0088-02 9561525, Fax: 0088-02 9563721, 7176604

SEC/Enforcement/1004/2011/213

By Special Messenger

March 14, 2013

1. Md. Abdul Khayer, S/O Md. Abul Kalam Matabbar and Taslima Begum, Flat-504, 356/1/A, Khilgaon, Dhaka-1219.
2. Mohammad Golam Mostofa, S/O Mohammed Yeahia Khan and Roshan Ara Begum, 49, West Malibagh, Dhaka-1217.
3. Md. Salim Soyol, S/o Md. Wasuddin Soyol and Safia Begum, Vill: Dinara, Puran Dinara Hat, Naria, Shar.

Subject: Warning: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with trading in shares of GQBALLPEN for the period of 01.06.2011 to 29.06.2011

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/1004/2011/ 846 dated December 28, 2011 and your explanation letter no. NIL dated January 19, 2012.

The Commission considering your explanation and prayer, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited

Chief Executive Officer, Chittagong Stock Exchange Limited

Chief Executive Officer, Nexus Securities Limited, Akram Tower (8th floor), 199, Shahid Syed Nazrul Islam Sarani, Dhaka-10000

Copy for information:

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (Surveillance), BSEC

P.O to Executive Director (MIS), BSEC

Chairman's office, BSEC