



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

CLC

BSEC/Enforcement/2690/2019/576

Date: November 05, 2019

By Courier

Ahmed Securities Services Limited (CSE TREC # 070)

Elite House,

CDA Avenue, Nasirabad,

Chittagong.

Attn: Managing Director/CEO

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2690/2019/448 dated September 19, 2019 based on which the hearing was conducted on October 16, 2019.

The Commission, considering the accused oral apology and written explanation vide letter Ref: -- dated October 16, 2019-which were submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Ahmed Securities Services Limited, its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

[Signature]
05.11.19

Md. Rafiqunnabi

Assistant Director

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Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRI), BSEC
4. Executive Director (R&D), BSEC
5. Office file