

SEC/Enforcement/801/2009/ 1072
December 27, 2009

By Special Messenger
By Fax: Nil

Akij Securities Limited (DSE Member No.231)
73, Dilkha C/A, Akij Chamber(9th floor)
Dhaka-1000.

Attention: Managing Director/Chief Executive Officer

**Sub: Non-compliance of securities laws: Regarding Enquiry Order
No.SEC/Surveillance/2003-0046/146 dated September 27, 2009:-Warning.**

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/801/2009/860 dated November 22, 2009 based on which the hearing was conducted on December 14, 2009.

The Commission, considering the explanation vide letter dated December 13, 2009, submitted at the time of hearing, has decided to dispose of the proceedings against Akij Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Securities and Exchange Commission

Mohammed Jahangir Alam
Deputy Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
P.O to Executive Director (Surveillance), SEC
P.O to Executive Director (SRI), SEC
P.O to Director (R&D), SEC
P.O to Director (MIS), SEC and
Chairman's Office, SEC