

SEC/Enforcement/717/2008/1164
September 4, 2008

Anwar Securities Limited
Depository Participant No.92
Room # 518, Level-5
9/E Motijheel C/A
Dhaka-1000

Subject: Non-compliance of securities law: Warning

This refers to the Commission's show-cause-cum hearing notice No.SEC/Enforcement/717/2008/864 dated July 8, 2008, based on which the hearing was conducted on August 21, 2008, and your explanations submitted to the Commission vide letter dated August 21, 2008 in respect of the said notice.

The Commission, considering the Depository Participant's explanations/submissions, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you, with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of your above said default/contravention.

For Securities and Exchange Commission

Md. Mizanur Rahman
Executive Director

CC:

Chief Executive Officer, Dhaka Stock Exchange Limited
P. O. to Executive Director (SRMID), SEC
P. O. to Executive Director (R & D), SEC
P. O. to Executive Director (CI & CDS), SEC
P. O. to Executive Director (Registration), SEC
Director (MIS), SEC
Chairman's Office, SEC