

**SEC/Enforcement/813/2010/401**  
**April 20, 2010**

**By Special Messenger**  
Fax: 9571003

Kazi Shoeb Rashid Capital Limited  
(DSE Member No.108)  
Ismail Mention (2nd Floor) Room No. 207  
9/H, Motijheel C/A  
Dhaka-1000.

**Attention: Managing Director/Chief Executive Officer**

**Subject: Non-compliance of regulations 4(1) of the “Dhaka Stock Exchange (Short Sale) Regulations, 2006:-Warning.**

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/813/2010/345 dated March 31, 2010 based on which hearing was conducted on April 18, 2010.

The Commission, considering the explanation vide letter dated April 07, 2010 has decided to dispose of the proceedings against Kazi Shoeb Rashid Capital Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Securities and Exchange Commission

**Mir Mosharraf Hossain Chowdhury**  
**Director**

**Copy for information:**

Chief Executive Officer, Dhaka Stock Exchange Ltd.  
P.O to Executive Director (Surveillance), SEC  
P.O to Executive Director (SRI), SEC  
P.O to Director (R&D), SEC  
P.O to Director (MIS), SEC and  
Chairman's Office, SEC