

SEC/Enforcement/813/2010/ 402
April 20, 2010

By Special Messenger
Fax: 02-9552814

Thea Securities Limited
(DSE Member No.49)
Room No. 525 (4th Floor) Annex Building
9/E, Motijheel C/A
Dhaka-1000.

Attention: Managing Director/Chief Executive Officer

Subject: Non-compliance of regulations 4(1) of the “Dhaka Stock Exchange (Short Sale) Regulations, 2006:-Warning.

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/813/2010/346 dated March 31, 2010 based on which hearing was conducted on April 18, 2010.

The Commission, considering the explanation vide letter dated April 18, 2010 has decided to dispose of the proceedings against Thea Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Securities and Exchange Commission

Mir Mosharraf Hossain Chowdhury
Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
P.O to Executive Director (Surveillance), SEC
P.O to Executive Director (SRI), SEC
P.O to Director (R&D), SEC
P.O to Director (MIS), SEC and
Chairman's Office, SEC