

Av#`k

#h#nZ, Kigkb, G#mbR mmiKDiiUR wj#g#UW (WGMb m`m` bs-065) tK Zvi Av#e`bμ#g, wawiz kZvax#b mmiKDiiUR μq weμq KgKvÊ cwiPvjv Kivi Rb` mmiKDiiUR I G·#PÄ Kigkb (AZ:ci 0Kigkb0 e#j D#j#LZ) mmiKDiiUR I G·#PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ë ¶gZve#j mmiKDiiUR I G·#PÄ Kigkb (÷K-Wjvi, ÷K-#evKvi I Ab#g`Z c#Z#bia) weagvjv, 2000 Gi weia 5(5) mn c#VZ ÷K#evKvi/Wjvi tiR#ókb mmiUd#KU c`vb K#i#Q;

#h#nZ, Kigkb Gi Av#`k bs SEC/SRI/INS/DSE-MEM/277 dated October 10, 2010 Gi gva`#g G#mbR mmiKDiiUR wj#g#UW (WGMb m`m` bs-065) G cwi`k#bi Rb` GK#U Kig#U M#VZ nq Ges D³ cwi`kb Kig#Ui `wLjKZ c#Z#e`b Abhvq findings and contraventions wba#`c:

“Asenz Securities Limited (DSE Member # 65) provided credit facilities to Lailun Nahar Ekram owner of the said company (client code # 1401), and Dealer A/C of Asenz Securities Ltd. (Code # 14437) also availed credit facilities to purchase shares without any Margin Loan Agreement.”

CONTRAVENTIONS: Through the aforesaid activities Asenz Securities Ltd. (Member No. 065) violated the Commissions Directive No.SEC/CMRRCD/2001-43/51 dated July 22, 2010.

#h#nZ, Kigkb Dc#iwj#LZ c#Z#e`b Abhvq mmiKDiiUR AvBb c#icvj#b e`_Zvi `i`b G#mbR mmiKDiiUR wj#g#UW Gi eive#i GK#U show-cause-cum-hearing notice m# bs-SEC/Enforcement/860/2011/11 ZwiL 05 Ribqvix 2011 Bs tciY K#i Ges 16 Ribqvix 2011 Bs Zwi#L kbvxi `b avh` K#i Ges avh`KZ Zwi#L D<sup>3</sup> teKvi/Wjvi Gi e`e`vcbv cwiPvjK I cabv wbevnx KgKZv Dc#Z n#q c# bs Asl/admin/990/001 ZwiL 16 Ribqvix 2011 Bs Gi gva`g wj#LZ e³e` `wLj K#ib| h#Z Ab`v#`i g#a`, w#gv³ weLq,wji D#j#L Av#Q:-

“—we would regretfully like to inform you that the mentioned acts were inadvertently committed from our side.

We would like to inform you that in future Asenz Securities Limited and its dealer will abide by the rules, regulations and directives of SEC. We regret for the unintended mistake from our side.”

#h#nZ, G#mbR mmiKDiiUR wj#g#UW Gi wj#LZ e³e` t\_#K m`úó cZxqgvb nq th, ibvxi tbwU#ki Awf#hMmgn G#mbR mmiKDiiUR wj#g#UW xKvi K#i#Q hv mmiKDiiUR AvBb c#icvj#b e`\_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvq k#w`#hM` Aciva| hv tKvfv#eB ¶gv Kiv hvq bv;

#h#nZ, Kigk#bi we#ePbvq, mmiKDiiUR AvBb c#icvj#b D#j#LZ e`\_Zvi Rb`, Z_v c#RevRv#ii Dbq#bi c#kvcwk evRv#ii ksLjv I `QZv i¶vi `v#_ D³ teKvi/Wjvi tK Riigv#v Kiv c#qvRb I mgxPxb;

AZGe, †m†nZ, K‖gkb, D‖j‖LZ hveZxq ‖elq ‖e†ePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms†k‖iaZ] G c`Ë ¶‖gZve†j G†mbR ‖m‖KD‖i‖UR ‖j‖g†UW (‖WGmB m`m` bs-065) Gi Dci 10 (`k) j ¶‖ UvKv R‖igvby avh` Ki j hv A† Av†`†ki Z‖iL n†Z 15 (c†bi) ‖`†bi g†a` Ô‖m‖KD‖i‖UR I G · †PÄ K‖gkbò Gi AbK†j Bm`KZ e`vsK WvdU/†c-AW†i i gva`†g K‖gk†b Rgv Ki†Z n†e|

‖m‖KD‖i‖UR I G · †PÄ K‖gk†bi Av†`kµ†g,

†gv: ‖RqvDj nK †L‖`Kvi
†Pqvig`vb

‖eZibt
G†mbR ‖m‖KD‖i‖UR ‖j‖g†UW
i`g bs-729
9/B g‖Z‖Sj ev/G
XvKv-1000|

bs-GmBm/GbtdvmgU/860/2011/125

ZwiLt 28 tde"qvix , 2011 Bs

GtmbR mKDwiUR jwgUW
i"g bs-729
9/B gZiSj ev/G
XvKv-1000|

elq: Av`k|

Kgk+bi 28 tde"qvix, 2011 Bs Zwi+Li Av`k bs GmBm/GbtdvmgU/860/2011/124 Gi
mZ`vqZ Abjwc Avcbvi AeMwZ I c+qvRbxq e'e~v Mn+bi Rb" GZ`ms+M msh³ Kiv n+jv|

mKDwiUR I G · tPÄ Kgk+bi c+t|

gxi tgvkviid tnv+mb tPaiX
cwiPvjK

weZibt

cÖb we@xKgKZ@XKvóK G· tPÄ ywgUW

cÖb we@xKgKZ@PAMö óK G· tPÄ ywgUW

AeMwZi Rb" Abjwc:

- 1| bevnX cwiPvjK, mvi+fbj"vY, GmBm
- 2| bevnX cwiPvjK, AvBb, GmBm
- 3| cwiPvjK, GgAvBGm, GmBm
- 4| cwiPvjK, GmAviAvB, GmBm
- 5| tPqvigvb g+nv`tqi `Bi, GmBm

