

SEC/Enforcement/1156/2013/366

August 05, 2014

By Special messenger

1. Bangladesh Finance and Investment Co.Ltd, Baitul Hossain Building (2nd Floor), 27, Dilkusha C/A, Dhaka-1000
2. Directors, Bangladesh Finance and Investment Co.Ltd, Baitul Hossain Building (2nd Floor), 27, Dilkusha C/A, Dhaka-1000
3. Managing Director, Bangladesh Finance and Investment Co.Ltd, Baitul Hossain Building (2nd Floor), 27, Dilkusha C/A, Dhaka-1000
4. Company Secretary, Bangladesh Finance and Investment Co.Ltd, Baitul Hossain Building (2nd Floor), 27, Dilkusha C/A, Dhaka-1000

Sub: Warning: Non-compliance of Securities Laws in connection with false statement in the Price Sensitive News

This refers to SEC's show cause-cum-hearing notice no.SEC/Enforcement/1156/2013/293 dated June 23, 2014 and your explanation letter no. BDF/Secy/900/2014 dated July 07, 2014 submitted to the Commission.

The Commission, considering your explanation and apology, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited

Chief Executive Officer, Chittagong Stock Exchange Limited

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P.O to Executive Director (SRMIC), BSEC

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Chairman's office, BSEC