



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

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BSEC/Enforcement/2849/2019-012
Date: January 08, 2020

By Courier

BRB Securities Limited (DSE TREC # 220)
House #10/B, Road # 06,
Dhanmondi R/A, Dhaka-1205

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2849/2019-582 dated November 11, 2019 based on which the hearing was conducted on December 18, 2019.

The Commission, considering the accused oral apology and written explanation vide letter BSL-714/2019 dated 08.12.2019-which was submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does you and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

08.01.2020
Md. Rafiqunnabi
Assistant Director
E-mail- rafiq@sec.gov.bd
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMICD), BSEC
4. Executive Director (R&D), BSEC
5. Office file