



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2871/2020/1072
Date: December 09, 2020

By Courier Service

BRB Securities Limited
DSE TREC Holder Number -220
9/D Somobai Sodon Bhobon, 8th floor
Dhaka -1000, Bangladesh.
Email: brbdse@hotmail.com
Mobile: 01730733804

Attention: Managing Director/CEO

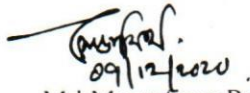
Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice number-BSEC/Enforcement/2871/2020/985 dated November 29, 2020 based on which the hearing was conducted on December 07, 2020.

The Commission, considering the explanation vide letter dated- December 07, 2020 which was submitted at the time of hearing, has decided to dispose of the proceedings against BRB Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.


09/12/2020

Md. Mustafizur Rahman
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M/F

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