SEC/Enforcement/2023/2013/680 **By Special Messenger**
November 10, 2014

Bangladesh Shilpa Bank,
DSE's TREC No.- 152,
BDBL Bhaban (3rd Floor), 8, Rajuk Avenue,
Motijheel C/A, Dhaka-1000

Attention: Managing Director/CEO

Sub: **Non-compliance of securities related laws. WARNING**

This refers to the Commission's hearing Notice No. SEC/Enforcement/2023/2013/172 dated April 17, 2014 based on which the hearing was conducted on May 05, 2014.

The Commission, considering the explanation vide letter dated May 04, 2014 submitted at the time of hearing, has decided to dispose of the proceedings against Bangladesh Shilpa Bank by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan
Deputy Director

Copy for information:
Chief Executive Officer, Dhaka Stock Exchange Ltd.
Chief Executive Officer, Chittagong Stock Exchange Ltd.
Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (MIS), BSEC
Chairman's Office