

Avt`k

thtnZ, Kigkb, Rbve tejvqZ tnvtmb tK Zvi Avte`bmtg, wawiz kZvaxb imiKDiiUR muq ieq KgKvE ciiPvjv Kivi Rb` imiKDiiUR I G · tPÄ Kigkb (AZ:ci ÖKigkb e+j DjjLZ) imiKDiiUR I G · tPÄ Kigkb AvBb, 1993 (1993 mthi 15 bs AvBb) Gi 10(1) G c`E gZvte+j imiKDiiUR I G · tPÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Abtgm`Z ciZibia) iagvjv, 2000 Gi iea 5(5) mn ciVZ Abtgm`Z ciZibia inmti mb` bs- GmBim/Ac/imGmB/2007/65 ZwiL RjvB 8, 2007 c`vb Kti+Q;

thtnZ, Zib cPRB eiv³ i bvtg Associated Capital Securities Ltd., Kabir Securities Ltd. Ges Meenhar Securities Ltd. Gi ctZ`KiuZ 10(`kU) Kti tLjv tgvU 30(ikU) BO inmti gva`g ICB AMCL Mutual Fund Gi IPO tZ Avte`b Kti ÷K-Wjvi, ÷K-tevKvi I Abtgm`Z ciZibia i ApiY iea bs 1 I 6 f½ Kti+Qb, hv imiKDiiUR I G · tPÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Abtgm`Z ciZibia) iagvjv, 2000 Gi iavb Abhvq kw`thM` Aciva;

thtnZ, tm tciqtZ Kigkb D³ Rbve tejvqZ tnvtmb Gi eivti GKU show-cause-cum-hearing notice m¹ bs-SEC/Enforcement/745/2008/17 ZwiL Rvqvix 13, 2009 tciY Kti Ges tm tgvZteK Zib tdeqvix 4, 2009 ZwiL AbiöZ ibibctZ DcW`Z ntq c<sup>1</sup> bs ZwiL tdeqvix 4, 2009 Gi gva`g `wLjKZ e<sup>3</sup>te, Abvbi`i gta, D+jL Ktib th,

"h wenxZ mqv ceK wbxZ wte`b GB th, Avcbvi t`lqv 13/01/2009Bs ZwiLi SEC/Enforcement/745/2008/17 bs PivU Avgvi n`MZ ntq+Q| PivU+Z D+jLZ 30U iea GKvDvU avixiv mK+j Avgvi wKU AvZxq| Zvt`i miev+ wfb mgqg wfb Broker House G ctZ`Ki bvtg GKU single Ges GKU joint GKvDvU L+j| teiki fvm GKvDvU AvgvK Ges `BU GKvDvU Avgvi fvBtK bvgb Kti| ICB AMCL Second NRB Mutual Fund G IPO tZ Avte`b Kivi mgq ctZ`Ki bvtg `BUi RvMq QqvU Kti Avte`b Kti+q| G Rb` Awg Avšik fve`tLZ, j34Z, AbZB Ges wtkZfve ggv cv_x| Awg wtkZ fve Avil A½Kvi KiiQ th, fievrtZ Avgvi mgM Rvteb Awg Av KLtbv Gi`c fj muU Kie bv| eiYZ Ae`vq c_g evtii gZ fj ggv Kti mstkvabi mthM` vtb ewaZ KiiZ wbxZ Abtiava Rvbm`Q| Ö hv Kigk+bi wtePbvq MnbthM` nqib;

thtnZ, Kigk+bi wtePbvq, imiKDiiUR AvBb ciicvjtb DjjLZ e`\_Zvi Rb, Z\_v ciRevRvii Dbq+bi cvkvwk evRvii kSLjv I`QZv iqv v+ D³ Abtgm`Z ciZibia mb`c¹ ewZj Kiv ctqvRb I mgvpx;

AZGe, thtnZ, Kigkb, DjjLZ hvezxq ieq wtePbvceK imiKDiiUR msuvš DjjLZ iea f½ Kiv imiKDiiUR I G · tPÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Abtgm`Z ciZibia) iagvjv, 2000 Gi iea 12 tgvZteK Rbie tejvqZ tnvtmb Gi AbK+j Bm`KZ Abtgm`Z ciZibia beÜb mb` bs- GmBim/Ac/imGmB/2007/65 ZwiL RjvB 8, 2007 Gi KhKwiv Zv GZv v ewZj Kij|

imiKDiiUR I G · tPÄ Kigk+bi
Avt`kmtg,

gbmi Avjg
tPqvigvb

ieZibt

- 1| Rbve tejvqZ tnvtmb, Abtgm`Z ciZibia inmti mb` bs- GmBim/Ac/imGmB/2007/65 ZwiL RjvB 8, 2007 eimv bs-01, tiw bs-02, eK-G, nijkni ni/G, PÆMvg|
- 2| caib ibevnx KgKZv, XvKv ÷K G · tPÄ jvg+UW, 9/F, giZiSj ev/G, XvKv-1000|
- 3| caib ibevnx KgKZv, PÆMvg ÷K G · tPÄ jvg+UW, 1080 tkLgRe tiw, AvMev`, PÆMvg|
- 4| caib ibevnx KgKZv, Associated Capital Securities Ltd., 37, AvMev` ev/G, PÆMvg|
- 5| ic I U ibevnx ciiPvjK, AvBb, GmBim

- 6| ¨c I U ¨bevx ¨iPvjK, GmAviGgAvB, GmBim
- 7| ¨c I U ¨bevx ¨iPvjK, mf†jÝ, GmBim
- 8| ¨c I U ¨bevx ¨iPvjK, ‡iR†lUkb, GmBim
- 9| ¨iPvjK, GgAvBGm/ AviGÚ W, GmBim
- 10| †Pqig`vb g†nv`†qi `Bi, GmBim