

SEC/Enforcement/1138/2013/536

Dated: September 04, 2013

By Special Messenger

City Brokerage Limited
Jiban Bima Tower Building (1st & 2nd Floor)
10, Dilkusha C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: Non-compliance of securities related laws. **WARNING**

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/1138/2013/443 dated July 16, 2013 based on which the hearing conducted on August 04, 2013.

The Commission, considering the explanation vide letter dated August 04, 2013 submitted at the time of hearing, has decided to dispose of the proceedings against City Brokerage Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan

Deputy Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
Chief Executive Officer, Chittagong Stock Exchange Ltd.
Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (MIS), BSEC
Chairman's Office