



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2588/2018/ ৭৪৩
Date: August 19, 2020

By Courier Service

Mr. Tareq Ibrahim and his Family members/Associates ((Md. Abdul Mazzid, Mrs. Tamina Begum, Md. Towfique Ibrahim and M/S Khargapur Trading)

Attention: Managing Director/CEO, Commerce Bank Securities and Investment Limited (DSE TREC # 180) & UCB Capital Management Ltd.(DSE TREC # 181), Dhaka.

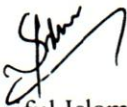
Subject: Warning to ensure compliance of all Securities related law in future.

This refers to the Commission's show cause cum hearing notice No. **BSEC/Enforcement/2588/2018/267 dated-July 24, 2018** based on which the hearing was conducted on August 08, 2018.

The Commission, considering the explanation vide letter dated August 08, 2018 submitted at the time of hearing, has decided to dispose of the proceedings against Mr. Tareq Ibrahim and his Family members/Associates by placing on record the Commission's dissatisfaction on the default/contravention made by you and your associates with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission


Md. Saiful Islam
Deputy Director
Email: mislam@sec.gov.bd
Ph. No. 55007145

Copy for information:

Executive Director (Surveillance), BSEC
Executive Director (MIS), BSEC
Chairman's Office, BSEC