

SEC/Enforcement/1150/2013/194

Dated: April 30, 2014

DMR Securities Services Ltd

DSE TREC No. 14

Room No: 214

Stock Exchange Building

9/F, Motijheel C/A

Dhaka-1000

Phone: 9554840, 7176023

By Special Messenger

Attention: Managing Director/CEO

Subject: Reject of prayer for waiver of penalty.

This refers to your prayer vide letter dated 25.02.2014 and Commission's Penalty Order No. SEC/Enforcement/1150/2013/73 dated January 23, 2014.

The Commission has considered your request as review petition under section 26 of Securities and Exchange Ordinance, 1969 and rejected your review petition regarding above mentioned penalty Order as you have failed to show any acceptable ground and the Commission has decided to uphold it's earlier decision of penalty.

For Bangladesh Securities and Exchange Commission,

Abul Kalam Azad

Deputy Director (Enforcement)

Phone: 9573914

Copy for information:

1. PS to the Honorable Chairman, BSEC.
2. PO to the Commissioner (Enforcement), BSEC.
3. PO to Executive Director (Surveillance), BSEC.
4. PO to Executive Director (MIS), BSEC.
5. Managing Director, Dhaka Stock Exchange Ltd.