

Av`k

thnZ, Kigkb, DMR Securities Services Limited (WGMb m`m` bs-014) tK Zvi Avte`bμtg, wawiz kZvaxtb wmkDwiUR μq weμq KgKvU cwiPvjv Kivi Rb` wmkDwiUR I G·tPÄ Kigkb (AZ:ci ÖKigkbô etj DljøLZ) wmkDwiUR I G·tPÄ Kigkb AvBb, 1993 (1993 m`bi 15 bs AvBb) Gi 10(1) G c`Ë tlgZve`j wmkDwiUR I G·tPÄ Kigkb (÷K-wjvi, ÷K-tevKvi I Abtgw`Z ciZibia) weagvjv, 2000 Gi weia 5(5) mn ciVZ ÷K-tevKvi/wjvi tiwRôkb mwuidtKU c`vb KtiQ;

thnZ, Kigkbi "Instant Watch Market Surveillance System" G DMR Securities Services Limited KZK MZ 14/05/2013 ZwiL "AGNISYSL" Gi 500 tkqvi Ges "DESHBANDHU" Gi 2000 tkqvi tjb`b Short Sale Alert cwi j`Z nq| cieZxZ D³ wetq mtfBj`vY wefWM KZK `wLjKZ ciZte`b Abhvqx findings and contraventions bgic:

“Quote:

- I. From the simple trade report of InstantWatch and Transaction Ledger of the client i.e. Md. Hazrat Ali and Md. Kabir (client code # 5077, BO A/C # 1203320031044179), it appears that DMR Securities Services Limited sold 2,000 shares of “AGNISYSL” and 2,000 shares of “DESHBANDHU” on 14.05.2013. As per BO-ISIN Holding Report (DPA6), it has been found that the client had balance 1,500 shares of “AGNISYSL” and had no share of “DESHBANDHU” in his BO account.
- II. From the above activities, it appears that DMR Securities Services Limited was involved with short-selling of 500 shares of “AGNISYSL” and 2,000 shares of “DESHBANDHU” on 14.05.2013 in the account of its client Md. Hazrat Ali and Md. Kabir (client code # 5077). Details are shown below:

Name of Client	Client Code	Instrument	Date of Sale	Sold Qty.	Available Holding as per DPA6 Report	Short Sale quantity
Md. Hazrat Ali and Md. Kabir	5077	Agni Systems Ltd.	14/05/13	2,000	1,500	500
		Deshbandhu Polymer Ltd.	14/05/13	2,000	0	2,000

Contravention: Through the aforesaid activities, DMR Securities Services Limited has violated section 4(1) of the Dhaka Stock Exchange (Short-Sale) Regulations, 2006.

- III. From the Client Instrument Ledger provided by DMR Securities Services Ltd it has been observed that balance of 3,000 shares of “AGNISYSL” on 17/04/2013 and balance of 2,000 shares of “DESHBANDHU” on 31/03/2013. But as per holding report generated by InstantWatch, shows balance of 2500 shares of “AGNISYSL” on 17/04/2013 and no holdings of “DESHBANDHU” on 31/03/2013. As per DP49 report shows credit 2,000 shares of “DESHBANDHU” shares and 1,500 shares credit of “AGNISYSL” in the BO account of the client during 08-14 May 2013. It appears that DMR Securities Services Ltd has submitted false statement to the Commission regarding this matter.

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Contravention: Through the aforesaid activities, DMR Securities Services Limited has violated section 18 of Securities and Exchange Ordinance, 1969 and section 11 of *mmKDwiUR I G · #PÄ Kigkb (ók-Wjvi, óK-#evKvi I Abtgw`Z cZib)a) weagvjv, 2000* | **Unquote."**

thnZ, Kigkb Dc#ijøLZ mmKDwiUR AvBb c#icvj#b e`\_Zvi Rb` DMR Securities Services Limited Gi eive#i GKU show-cause-cum-hearing notice mÎ bs- SEC/Enforcement/1150/2013/491 ZwiL 24 RjvB 2013 Bs tciY K#i 04 AvM÷ 2013 Bs ZwiL kbvxi w`b avh` K#i Ges avh`KZ ZwiL DMR Securities Services Limited Gi cZibia Dc#Z n#g c#i gva`tg wjLZ e³e` wLj K#ib| hvZ Abv#b`i g#a`, wbbv³ weiq, wji D#jøL Av#Q:-

Quote: 'we are in receipt your above mentioned notice for hearing related to the sell of 2000 shares of Agni Systems Ltd & 2000 shares of Deshbandhu Polymer Ltd.

This has been done inadvertently, due to oversight.

We apology for the mistake & oversight and assure you that we shall take proper care so that such mistake does not occur in future. We gave warning to all of our Authorized Representatives in this matter.

We beg to be excused. **Unquote."**

thnZ, DMR Securities Services Limited Gi wjLZ e³e` t\_K m`úó cZxqgvb nq th, ivbxi tbwU#ki Af#hvMmgn DMR Securities Services Limited xKvi K#i#Q hv mmKDwiUR AvBb c#icvj#b e`\_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvqx kv#hvM` Aciva Ges hv #lgvi A#hvM`;

thnZ, Kigk#bi we#ePbvq, mmKDwiUR AvBb c#icvj#b D#jøLZ e`\_Zvi Rb`, Z_v c#RevRv#i Dbq#bi c#kvcwk evRv#i ksljv I QZv i#vi v#_D³ tevKvi/Wjvi tK R#igbv Kiv c#qvRb I mgxPxb;

AZGe, thnZ, Kigkb, D#jøLZ hveZxq weiq we#ePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms#kwaZ] G c`È #gZve#j DMR Securities Services Limited Gi Dci 1 (GK) j #UvKv R#igbv avh` Kij hv AÍ Av#`#ki ZwiL n#Z 15 (c#bi) w`#bi g#a` õewsjv#`k mmKDwiUR I G · #PÄ Kigkb Gi AbK#j Bm`KZ e`vsk WdU/tc-AWv#i i gva`tg Kigk#b Rgv Ki#Z n#e|

ewsjv#`k mmKDwiUR I G · #PÄ Kigk#bi Av#`kμg

Aa`vcK W: Gg Lvqia#j tnv#mb
#Pqiv#b

weZibt

WGAvi mmKDwiUR wjg#UW

iæg bs-214, ÷K G · #PÄ we`is , 9/Gd g#ZiSj ev/G, XiKv-1000|