



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2783/2019/434
Date: September 08, 2019

By Courier

Dayton Holdings Limited (DSE TREC holder no. 101)
Room # 507, Stock Exchange Building
9/F, Motijheel C/A, Dhaka-1000.

Attn: Managing Director/CEO

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2783/2019/373-374 dated July 31, 2019 based on which the hearing was conducted on August 28, 2019.

The Commission, considering the accused apology and written explanation vide letter Ref: DHL/F-02/2019, DHL/F-03/2019 dated August 28, 2019-which was submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by the accused with a **Warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Dayton Holdings Limited, its Directors, Managing Director, Company Secretary and any other involved from their lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

08.09.19

Md. Rafiqunnabi

Assistant Director

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Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRI), BSEC
4. Executive Director (R&D), BSEC
5. Office file