

BSEC/Enforcement/1188/2013/120
March 18, 2014

By Special Messenger
Fax: 880-2-9563951

1. Delta life Insurance Company Ltd, Uttara Bank Bhaban, 90, Motijheel C/A, Dhaka - 1000.

2. Directors

Delta life Insurance Company Ltd, Uttara Bank Bhaban, 90, Motijheel C/A, Dhaka - 1000.

3. Managing Director

Delta life Insurance Company Ltd, Uttara Bank Bhaban, 90, Motijheel C/A, Dhaka - 1000.

4. Company Secretary

Delta life Insurance Company Ltd, Uttara Bank Bhaban, 90, Motijheel C/A, Dhaka - 1000.

Sub: Non-compliance of securities laws: In connection with the delayed submission of third quarter (Q3) financial statements for the quarter ended on September 30, 2013: **Warning**.

This refers to the Commission's show cause cum hearing notice SEC/Enforcement/1188/203/635 dated December 05, 2013 and reschedule dated January 29, 2014. The issuer submitted the said accounts to the Commission vide letter dated February 16, 2014.

The Commission, considering your subsequent compliance, has decided to dispose of the proceedings against **Delta Life Insurance Company Limited** and others concerned by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Bangladesh Securities and Exchange Commission

Mohammad Siddiquir Rahman

Deputy Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.

Chief Executive Officer, Chittagong Stock Exchange Ltd.

Executive Director (CFD), Bangladesh SEC

Executive Director (MIS), Bangladesh SEC

Chairman's Office, Bangladesh SEC

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