

SEC/Enforcement/872/2011/113

March 19, 2012

By Special Messenger

Fax: 880-2- 9570132

Dhaka Bank Limited (Stock Broker)
100, Motihjeel C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Reduction of penalty: Non-compliance of শর্ত নং- ২ of স্টক ব্রোকার নিবন্ধন সনদ and আচরনবিধি ১, ২ ও ৭ of দ্বিতীয় তফসিল of সিকিউরিটিজ ও এক্সচেঞ্জ কমিশন (স্টক ডিলার, স্টক ব্রোকার ও অনুমোদিত প্রতিনিধি) বিধিমালা, ২০০০.

This refers to your review petition dated September 21,2011 against penal order No.SEC/Enforcement/872/2011/532 dated August 21,2011.

Considering your aforesaid review petition, the Commission has decided to reduce penalty amount from Tk.20.00 (Twenty Five) Lac to Tk.7.00 (Seven) Lac.

For Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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