



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2857/2019-1076
Date: December 20, 2020

By Courier

Dhaka Securities Limited
WW Tower (Level-15, South Side)
68, Motijheel C/A
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2857/2019-385 dated July 28, 2020 based on which the hearing was conducted on August 17, 2020.

The Commission, considering the written explanation of the accused vide letter ref No: DSL/CD/1304/2020 dated August 16, 2020-which was submitted at the time of hearing, has decided to dispose-off the proceedings against you by placing on record the dissatisfaction of the Commission on the default/contravention made by you with a '**Warning**' to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Dhaka Securities Limited, its Directors, Managing Director, Company Secretary and any other who involved from their lawful responsibilities/obligations to the person(s) who affected (if any), as a result of such default.

For Bangladesh Securities and Exchange Commission,

20.12.2020

Md. Rafiqunnabi
Assistant Director
E-mail- rafiq@sec.gov.bd
Cell- 01786 327 667

Copy for information:

1. Chairman's Office, BSEC
2. Commissioner (Enforcement), BSEC
3. Executive Director (SRMIC), BSEC
4. Executive Director (MIS), BSEC
5. Office file

M/F

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”