



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2407/2017/536
October 07, 2019

By courier Service

1. Dragon Sweater & Spinning Limited (DSL) (Issuer),
25/2, DIT Road, Malibagh, Chowdhury Para, Dhaka-1219, Bangladesh.
2. Board of Directors,
Dragon Sweater & Spinning Limited (DSL), 25/2, DIT Road, Malibagh, Chowdhury Para,
Dhaka- 1219, Bangladesh.
3. Managing Director
Dragon Sweater & Spinning Limited (DSL), 25/2, DIT Road, Malibagh, Chowdhury Para,
Dhaka- 1219, Bangladesh.
4. Company Secretary
Dragon Sweater & Spinning Limited (DSL), 25/2, DIT Road, Malibagh, Chowdhury Para,
Dhaka- 1219, Bangladesh.

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2407/2017/395 dated August 7, 2019 based on which the hearing was conducted on September 16, 2019.

The Commission, considering the explanation vide letter dated September 16, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against Directors of Dragon Sweater & Spinning Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-



Md. Shahnaws
Assistant Director
Mob:01816810586

Copy for information:

- Chairman's office, BSEC
- P.O to Commissioner (Enforcement), BSEC
- P.O to Executive Director (Enforcement), BSEC
- P.O to Executive Director (MIS), BSEC
- P.O to Executive Director (CFD), BSEC
- P.O to Director (Enforcement), BSEC