



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2918/2020/820
Date-November 10, 2020

By Courier Service

EC Securities Limited
Kazi Tower (5th Floor)
86, Naya Palton
Dhaka-1000

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2918/2020/615 date September 27, 2020 based on which the hearing was conducted on October 19, 2020.

The Commission, considering the explanation vide letter dated October 19, 2020 submitted at the time of hearing, has decided to dispose of the proceedings against EC Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission

Md. Mustafizur Rahman
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