

SEC/Enforcement/788/2009/714
September 16, 2009

Eminent Securities Limited
DSE Membership No.191
Room No.313, DSE Building
9/F Motijheel C/A, Dhaka-1000.

Attention: Chief Executive Officer/Managing Director

Sub: Non-compliance of securities laws: Regarding inspection vide Commission Order No. Order No.SEC/SRI/INS/DSE-MEM/14 dated June 23, 2009-Warning.

This refers to the Commission's show cause cum hearing notice No.SEC/Enforcement/788/2009/700 dated September 3, 2009 based on which the hearing conducted on September 13, 2009.

The Commission, considering your explanation vide letter dated 7/9/2009, has decided to dispose of the proceedings against Eminent Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the said default.

For Securities and Exchange Commission

Mohammed Jahangir Alam
Deputy Director