

**SEC/Enforcement/670/2008/
October 12, 2008**

The Chief Executive Officer
Dhaka Stock Exchange Limited
Stock Exchange Building
9/F, Motijheel C/A
Dhaka-1000

Subject: **Enquiry on Activities of DSE regarding approval for gift of securities: Non-compliance of securities law-Warning.**

Reference is made to the Commission's Order No.SEC/SRMID/94-231/1515 dated November 11, 2007, letter No.SEC/Enforcement/670/2008/400 dated April 29, 2008 and your letter No.DSE/CEO/05/08/2873 dated May 11, 2008 concerned with the above subject.

The Commission has decided to dispose of the matter by placing on record the Commission's dissatisfaction on the default/ contravention made by DSE, with a warning to ensure compliance of all securities laws in future. You are also advised to take measures for amending the concerned rule, if necessary, within October 31, 2008.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of your above said default/contravention.

For Securities and Exchange Commission

**Md. Mizanur Rahman
Executive Director**

CC:

P. O. to Executive Director (SRMID), SEC
P. O. to Executive Director (R & D), SEC
P. O. to Executive Director (CI), SEC
Director (MIS), SEC
Chairman's Office, SEC