

SEC/Enforcement/975/2011/513

August 25, 2013

Registered with AD

1. Mr. Fokhrul Islam Chowdhury :S/O Late Nurul Islam Chowdhury,27 Central Basaboo,Dhaka
2. Mr.Habibur Rahman :S/OShamsuddin and Nurun Nahar,M/S Chowdhury Enterprise,4/1 MouloviBazar,Dhaka-1100
3. Mr.Md. Emran Hossain:M/S Taher & Sons ,5 Moulovibazar,Gulbadan new supermarket,Dhaka-1100

Subject: Warning: Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969 in connection with unusual trading in shares of BEACONPHAR

This refers to Commission's Show-cause cum hearing notice no SEC/Enforcement/975/2011/381 dated June 24, 2013 and your explanation letter received on July 15,2013.

The Commission considering your explanation and apology, has decided to dispose off the proceedings against you by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected as a result of your above said default.

For Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited

Chief Executive Officer, Chittagong Stock Exchange Limited

Chief Executive Officer, Mona Financial consultancy & Securities limited (with a request to send the notice to the above named clients code no. 382, 16922 and 408)

Copy for information:

P.O to Commissioner (Enforcement), BSEC

P.O to Executive Director (Surveillance), BSEC

P.O to Executive Director (MIS), BSEC

Chairman's office, SEC