

Bangladesh Securities and Exchange Commission
Securities Commission Bhaban
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area
Dhaka-1207, Bangladesh.

SEC/Enforcement/2728/2019/542

By Courier service

Date: October 13, 2019

Fareast Stocks & Bond Ltd (DSE TREC # 226)
52-53, Eunoos Trade Center (Level-8)
Dilkusha C/A, Dhaka-1000.

Attention: Managing Director/CEO

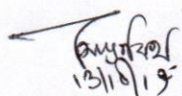
Sub: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice no. SEC/Enforcement/2728/2019/228 dated April 11, 2019 based on which the hearing was conducted on September 25, 2019.

The Commission, considering the explanation vide letter No- FSB/Admin/Bsec/2019/695 dated September 25, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Fareast Stocks & Bond Ltd by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.



Md. Mustafizur Rahman
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Copy for information:

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