



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2919/2020/1173
Dated: December 31, 2020

By Special Messenger

Fareast Stocks & Bonds Limited
(DSE TREC No. 226)
Registered Office:
Chand Mansion (3rd Floor, North Side)
66, Dilkusha C/A,
Dhaka-1000.

Attention: Managing Director/CEO

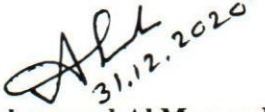
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2919/2020/772 dated October 14, 2020 based on which the hearing was conducted on 02.11.2020.

The Commission, considering your explanation vide letter ref. No. FSB/Admin/BSEC/ 2020/332 dated November 01, 2020 has decided to dispose of the proceedings against Fareast Stocks & Bonds Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,


31.12.2020
Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Dhaka Stock Exchange Ltd.

O/c