

SEC/Enforcement/959/2011/346

June 17, 2012

Green Delta Financial Services Limited
DSE's Membership No.59
Hadi Mansion (4th floor)
2, Dilkusha C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: Warning: Non-compliance of 5(1) of Margin Rules, 1999.

This refers to SEC's show cause-cum-hearing notice SEC/Enforcement/959/2011/118 dated March 19, 2012 and your explanation letter no. GDSL/HO/2012/329 dated April 10, 2012 submitted to the Commission.

The Commission, has decided to dispose off the proceedings against the broker by placing on record the Commission's dissatisfaction on the defaults/contraventions made by it with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Green Delta Financial Services Limited (Stock Broker) from its lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Securities and Exchange Commission

Mustari Jahan

Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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