



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2830/2019/583

Dated: November 14, 2019

By Special Messenger

Green Delta Securities Limited
(DSE TREC holder no. 59)
Green Delta AIMS Tower, 2nd Floor,
51-52 Mohakhali Commercial Area,
Dhaka-1213.

Attention: Managing Director/CEO

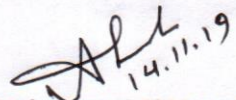
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2830/2019/458 dated September 22, 2019 based on which the hearing was conducted on October 21, 2019.

The Commission, considering your explanation vide letter no. GDSDL/HO/Com/2019 dated 21.10.2019 has decided to dispose of the proceedings against Green Delta Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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