



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2890/2020/371

Dated: 01 July, 2020

By Special Messenger

Haji Ahmad Brothers Securities Ltd.
DSE TREC Holder # 41
Modhumita Cinema Hall Building (3rd Floor),
158 - 160, Motijheel C/A,
Dhaka-1000

Attention: Managing Director/CEO

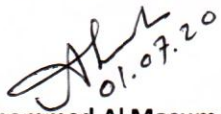
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/2890/2020/123 dated February 16, 2020 based on which the hearing was conducted on March 04, 2020.

The Commission, considering your explanation vide letter ref. HABSL/MD/HO/2020/41 dated 04.03.2020 has decided to dispose of the proceedings against Haji Ahmad Brothers Securities Ltd. by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
- ✓ 4. PO to Executive Director (MIS), BSEC