



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2183/2014/৭৬৭

Dated: November 28, 2016

Haji Ahmed Brothers Securities Limited
DSE TREC holder No.41
Modhumita Cinema Hall Building
158-160, Motijheel C/A
Dhaka-1000.

By Special Messenger

Attention: Managing Director/CEO

Subject: Non-compliance of securities laws: Warning.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2183/2014/245 dated August 10, 2015 based on which the hearing was conducted on September 10, 2015.

The Commission, considering your explanation vide letter No.HABSL/HO/MD/BSEC/2015/09 dated September 07,2015 has decided to dispose of the proceedings against Haji Ahmed Brothers Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,

Md. Yusuf Bhuiyan
Deputy Director (Enforcement)
Phone: 9573904

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
4. PO to Executive Director (Registration), BSEC.
5. PO to Executive Director (MIS), BSEC