

Av#`k

#h#nZ, WmKDwiUR I G·#PÄ K#gkb (AZtci ÖK#gkb0 e#j D#j#LZ), #n`v#qZDjvn WmKDwiUR Wjt Gi Av#e`bμ#g, WbawiZ kZvax#b, óK-#evKvi Wnm#e KvR Kivi Rb` WmKDwiUR I G·#PÄ K#gkb AvBb, 1993 Gi aviv 10(1) G c`Ë ¶lgZve#j Ges WmKDwiUR I G·#PÄ K#gkb (óK Wjvi, óK #evKvi I Ab#gw`Z c#Z#b#a) W#agvjv, 2000 Gi W#ia 5(5) Gi AvIZvq #n`v#qZDjvn WmKDwiUR Wjt (AZtci “óK-#evKvi0 e#j D#j#LZ) Gi AbK#j óK-#evKvi WbeÜb mb` c`vb K#i#Q;

#h#nZ, WmKDwiUR I G·#PÄ K#gkb, #n`v#qZDjvn WmKDwiUR Wjt Gi Av#e`bμ#g, WbawiZ kZvax#b, Wc#RU#i AskMnbKvix (W#c) Wnm#e KvR Kivi Rb` Wc#RUix AvBb, 1999 G c`Ë ¶lgZve#j Ges Wc#RUix (e`enW#iK) c#eavbgvjv, 2003 Gi c#eavb 30 Gi AvIZvq #n`v#qZDjvn WmKDwiUR Wjt Gi AbK#j Wc#RU#i AskMnbKvix WbeÜb mb` c`vb K#i#Q;

#h#nZ, WmKDwiUR I G·#PÄ K#gkb (óK Wjvi, óK #evKvi I Ab#gw`Z c#Z#b#a) W#agvjv, 2000 Gi W#ia 15 I 16; Wc#RU#i (e`enW#iK) c#eavbgvjv, 2003 Gi c#eavb 36 Ges Securities and Exchange Ordinance, 1969 Gi section 6(1) Gi Aaxb c`Ë K#gk#bi Av#`k bs SEC/Surveillance/2008/0659/345 ZwiLt Rb 02, 2008 Bs Abhvqx Wb#3 c#i`kbKvix KgKZ#MY #n`v#qZDjvn WmKDwiUR Wjt KZK #kqvi μq-W#μq m#μvš Books and Records c#i`kb m#ú#b K#i c#ß Z#\_i Wfv#Z GK#U c#i`kb c#Z#e`b K#gk#bi WbKU `W#Lj K#i;

#h#nZ, D³ c#i`kb c#Z#e`b n#Z, Ab`v#b`i g#a`, WmKDwiUR AvB#bi c#icšx Wb#æ#3 W#lqW` c#ij#¶Z nqt

- 1) #n`v#qZDjvn WmKDwiUR Wjt S#K-Wfv#K gja#bi ch#ßZv (risk-based capital adequacy) msi ¶#Y e`_ n#q#Q Ges
- 2) D³ óK-#evKvi Zvi Mvn#Ki #kqvi X#Kv óK G·#PÄ Wjt G AvBb e#nfZfv#e tg#vi gw#Rb Wnm#e Rgv ti#L#Q|

#h#nZ, D³ óK-#evKvi, Dc#iv³ Kv#Kjv#ci gva`tg, Securities and Exchange Rules, 1987 Gi rule 15 Ges Wc#RU#i (e`enW#iK) c#eavbgvjv, 2003 Gi c#eavb 42(1) I 45 f½ K#i#Q hv Securities and Exchange Ordinance, 1969 Gi section 22; Wc#RU#i (e`enW#iK) c#eavbgvjv, 2003 Gi c#eavb 53 Ges Wc#RUix AvBb, 1999 Gi aviv 14 I 15 Gi Aaxb kw`#hvM` Aciva;

#h#nZ, Av#jvP` óK-#evKv#i Dc#iv<sup>3</sup> Aciv#ai Rb` Securities and Exchange Ordinance, 1969 Gi section 22; Wc#RU#i (e`enW#iK) c#eavbgvjv, 2003 Gi c#eavb 53 Ges Wc#RUix AvBb, 1999 Gi aviv 14 I 15 Gi Aaxb KvY `kv#v I #bvx#i #bW#k bs SEC/Enforcement/713/2008/1061 ZwiLt RjvB 22, 2008 Bs Bm` Kiv nq Ges AvMó 31, 2008Bs Zwi#L D³ #bvx Ab#ôZ nq;

#h#nZ, #bvx#Z AskMnbKvix D³ óK-#evKv#i cavb Wbevnx KgKZv Rbve tgv# m#Bdj Bmjvg KZK `W#LjKZ c# ZwiL AvMó 31, 2008Bs Gi Gi gva`tg th e`vL`v c`vb Kiv nq hv m#šv#RbK bv n#lqvg K#gk#bi WbKU Mnb#hvM` e#j W#e#PZ nq#b|

9/B, gWZWSj eV/G, XvKv-1000