

Bangladesh Securities and Exchange Commission  
Securities Commission Bhaban  
E-6/C, Agargaon, Sher-e-Bangla Nagar Administrative Area  
Dhaka-1207, Bangladesh.

BSEC/Enforcement/2787/2019/544  
Date: October 15, 2019

By Courier service

Hefazatur Rahman & CO. Limited  
CSE TREC No. 046  
1075, Azim Building, Amir Market  
Haji Amir Ali Chowdhury Road  
Khatungonj, Chittagong-4000

Attention: Managing Director/CEO

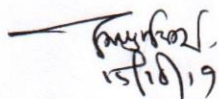
Sub: **Warning to ensure compliance of all securities related law in future.**

This refers to the Commission's show cause cum hearing notice no. SEC/Enforcement/2787/2019/347 dated July 24, 2019 based on which the hearing was conducted on September 25, 2019.

The Commission, considering the explanation vide letter dated September 25, 2019 which was submitted at the time of hearing, has decided to dispose of the proceedings against Hefazatur Rahman & CO. Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.



Md. Mustafizur Rahman  
Assistant Director (Enforcement)  
Mobile-+88002 55007131- 2 ext.1313  
e-mail- mustafiz@sec.gov.bd

Copy for information:

Executive Director (SRI), BSEC  
Executive Director (MIS), BSEC  
Director (Enforcement), BSEC  
Chairman's Office, BSEC