



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2885/2020/943

Dated: November 23, 2020

By Special Messenger

Holy City Securities Limited
(CSE TREC No. 93)
Registered Address:
Sylhet City Center (6th Floor),
Zindabazar, Sylhet-3100.

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related laws in future.

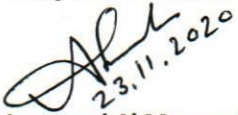
This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement /2885/2020/633 dated September 27, 2020 based on which the hearing was conducted on 19.10.2020.

The Commission, considering your explanation vide letter dated 04 October, 2020 has decided to dispose of the proceedings against Holy City Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

You are also requested to submit the report of Consolidated Customer Account's position to the Commission in every four months up to next one year.

For Bangladesh Securities and Exchange Commission,


23.11.2020
Mohammad Al Masum Mirdha
Deputy Director (Enforcement)
Phone : 55007147
Email: almasum@sec.gov.bd

Copy for information:

1. PS to Chairman, BSEC.
2. PO to Executive Director (SRI), BSEC: with request to monitor the Consolidated Customer Account's position in every four months up to next one year.
3. PO to Executive Director (MIS), BSEC.
4. Managing Director, Chittagong Stock Exchange Ltd.

“মুজিববর্ষের অঙ্গীকার
উন্নয়ন-অর্থায়নের উৎস হবে পুঁজিবাজার”