



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

JIBON BIMA TOWER (14, 15, 16 & 20 FLOOR), 10 DILKUSHA C/A, DHAKA-1000, BANGLADESH

BSEC/Enforcement/2076/2014/02

Dated: January 01, 2017

By Special Messenger

Holy City Securities Limited
CSE TREC# 093
Sylhet City Centre (6th floor)
Zindabazar, Sylhet

Attention: Managing Director/CEO

Subject: Review of imposed penalty against BSEC penalty Orders No. BSEC/Enforcement/2076/2014/148 dated May 06, 2015 in connection with non- Non-compliance of securities law.

This refers to your letter No.Syl/Holy 01(BSEC)/427 dated May 18, 2015 and the Commission's Penalty Order No.BSEC/ Enforcement/2076/2014/148 dated May 06, 2015.

The Commission has considered your review petition under section 26 of the Securities and Exchange Ordinance, 1969 and reduced the penalty amount from Tk.7.00 (seven) lac to Tk.5.00 (five lac) only. You are requested to deposit the penalty within fifteen days from the issuance of this letter.

For Bangladesh Securities and Exchange Commission

Md. Yusuf Bhuiyan
Deputy Director(Enf.)

Copy for information:

Chief Executive Officer, Chittagong Stock Exchange Limited.

PS to Chairman, BSEC

PO to Executive Director, (SRI), BSEC

PO to Executive Director, (Law), BSEC

PO to Executive Director, (MIS), BSEC