

SEC/Enforcement/2023/2013/681
November 10, 2014

By Special Messenger

Hosne Ara Khanam
W/O Md. Abdul Awal
Room No. 716, DSE Building, 7/F
Motijheel C/A, Dhaka-1000

Sub: **Non-compliance of Section 17 (e) (v) of Securities and Exchange Ordinance, 1969.**
WARNING

This refers to the Commission's hearing Notice No. SEC/Enforcement/2023/2013/171 dated April 17, 2014 based on which the hearing was conducted on May 05, 2014.

The Commission, considering the explanation vide letter dated May 04, 2014 submitted at the time of hearing, has decided to dispose of the proceedings against Hosne Ara Khanam by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Md. Hossain Khan

Deputy Director

Copy for information:

Chief Executive Officer, Dhaka Stock Exchange Ltd.
Chief Executive Officer, Chittagong Stock Exchange Ltd.
Commissioner (Enforcement), BSEC
Executive Director (Surveillance), BSEC
Executive Director (MIS), BSEC
Chairman's Office