



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2300/2016/612

Dated: 27 September, 2020

By Special Messenger

IFIC Securities Limited (DSE TREC- 192)
CIC Tower (3rd Floor), Plot # 7-8,
Motijheel C/A, Dhaka-1000
Mobile: 01730044798

Sub: Warning to ensure compliance of all securities related law in future.

This refers to the show cause cum hearing notice No. BSEC/Enforcement/2300/2016/186 dated 08 May, 2016 of the Commission based on which the hearing was conducted on 22 May, 2016.

The Commission, considering the explanation vide letter dated 22 May, 2016 submitted at the time of hearing, has decided to dispose of the proceedings against IFIC Securities Limited (DSE TREC- 192) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-


27.9.20

Md. Shahnaws ACMA
Assistant Director

Mob:01816810586

Copy for information:

- Chairman's office, BSEC
- P.O to Commissioner (Enforcement), BSEC
- ✓ P.O to Executive Director (MIS), BSEC
- P.O to Executive Director (SRI), BSEC
- P.O to Director (Enforcement), BSEC