

SEC/Enforcement/875/2011/93
March 12, 2012

By Special Messenger
Fax: 880-2- 9570756

IIDFC Securities Limited
DSE's Membership No. 238
Eunoos Trade Centre (Level-1)
52-53, Dilkusha C/A
Dhaka-1000.

Attention: Managing Director/CEO

Subject: WARNING: Non-compliance of শর্ত নং- ২ of স্টক তেবKvi িbeÜb mb` and AvPibieia ১, ২ ও ৭ of দ্বিতীয় তফসিল of িmKDiiUR I G · ðPÄ Kigkb (óK িWjvi, óK তেবKvi I Abtg`Z cıZıbia) িeagvjv, 2000.

This refers to your review petition No.NIL dated September 14,2011 against penal order No.SEC/Enforcement/875/2011/488 dated August 16,2011.

The Commission has granted your review petition for waiving the penalty for Tk.5.00 Lac imposed vide Order No. SEC/Enforcement/875/2011/488 dated August 16,2011 for violating শর্ত নং- ২ of কমিশন কর্তৃক ইস্যুকৃত স্টক তেবKvi িbeÜb mb` and আচরণ িeia ১, ২ ও ৭ of দ্বিতীয় তফসিল of িmKDiiUR I G · ðPÄ Kigkb (óK িWjvi, óK তেবKvi I Abtg`Z cıZıbia) িeagvjv, 2000, by placing on record the Commission's dissatisfaction on the defaults/contraventions made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve IIDFC Securities Limited (Stock Broker) from it's lawful responsibilities/obligations to any person, if affected as a result of the aforesaid default.

For Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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