



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন

সিকিউরিটিজ কমিশন ভবন, ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭, বাংলাদেশ।

BSEC/Enforcement/2835/2019/১৪০

Dated: November 11, 2019

By Special Messenger

Imtiyaz Husain Securities Limited
(DSE TREC holder no. 50)
Tropical Molla Tower (Level-10),
Office # D & E, Plot # 15-1, 15-2, 15-3 & 15-4,
Progoti Sarani, Middle Badda,
Dhaka-1212.

Attention: Managing Director/CEO

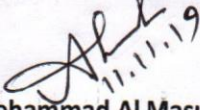
Subject: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/2835/2019/457 dated September 22, 2019 based on which the hearing was conducted on October 21, 2019 and October 23, 2019.

The Commission, considering your explanation vide letter dated 22.10.2019 has decided to dispose of the proceedings against Imtiyaz Husain Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission,


Mohammad Al Masum Mirdha

Deputy Director (Enforcement)

Phone : 55007147

Email: almasum@sec.gov.bd

Copy for information:

1. Managing Director, Dhaka Stock Exchange Ltd.
2. PS to the Honorable Chairman, BSEC.
3. PO to Executive Director (SRI), BSEC.
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