

bs-GmBwm/Gbtdvm#g>U/1142/2013/69

ZwiLt 23 Rvbqwi 2014 Bs

Rvjvjev` wmkDwiUR wjwg#UW  
419 gvbix kws wmu (4\_ Zjv),  
#PšnvÆv, wmtjU-3100|

welq: Av`k|

Kwgk#bi 23 Rvbqwi 2014 Bs Zwi#Li Av`k bs- GmBwm/Gbtdvm#g>U/1142/2013/70 Gi mZ`wqZ  
Abwj#c Avcbvi AeMwZ I c#qvRbxq e`e<sup>-</sup>v Mn#bi Rb` GZ`ms#M msh<sup>3</sup> Kiv n#jv|

evsjv#`k wmkDwiUR I G · #PÄ Kwgk#bi c#¶

tgvnv#s` tn#mb Lvb  
Dc-cwiPvjK

wEZibt

cavb wbevnX KgKZv, PÆMvg óK G · #PÄ wjwg#UW

AeMwZi Rb` Abwj#c:

- 1| wbevnX cwiPvjK, AvBb, weGmBwm
- 2| wbevnX cwiPvjK, mv#fBj`vY, weGmBwm
- 3| wbevnX cwiPvjK, GgAvBGm, weGmBwm
- 4| #Pqvig`vb g#nv`#qi `Bi, weGmBwm

Av`k

thnZ, Kigkb, Jalalabad Securities Limited (mGmB m`m` bs-78) tK Zvi Avte`bμtg, wbaWiZ kZvaxtb mKDiiUR μq weμq KgKvU ciiPvjv Kivi Rb` mKDiiUR I G·tPÄ Kigkb (AZ:ci ÖKigkb0 e+j DjjøLZ) mKDiiUR I G·tPÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`E tlgZve+j mKDiiUR I G·tPÄ Kigkb (÷K-Wjvi, ÷K-tevKvi I Abtgw`Z ciZibia) weagvjv, 2000 Gi weia 5(5) mn ciVZ ÷K-tevKvi/Wjvi tiWRókb mUd#KU c`vb Kti#Q;

thnZ, Kigkb#bi 0Instant Watch Market Surveillance System” G Jalalabad Securities Limited KZK MZ 15/05/2013 Zwi#L “SUMITPOWER” Gi 2000 tkqvi tjb#`b Short Sale Alert cii jwqZ nq| cieZ#Z D<sup>3</sup> weiq m#fBj`Y wefM KZK `wLjKZ ciZte`b Abhvqx findings and contraventions wbigic:

“Jalalabad Securities Limited (CSE Member No. 104) allowed short selling of 2,000 shares of “SUMITPOWER” on May 15, 2013 in the account of its client, Amal Chowdhury. Details are shown below:

| Name of Client | Client Code # | Date of Sale | Sold Qty. | Available Holding as per DPA6 Report | Short-Sale Quantity |
|----------------|---------------|--------------|-----------|--------------------------------------|---------------------|
| Amal Chowdhury | 000068        | 15/05/2013   | 2,000     | 0                                    | 2,000               |

**Contravention:** Through the aforesaid activity, Jalalabad Securities Limited violated regulation 4(1) of the Chittagong Stock Exchange (Short-Sale) Regulations, 2005.”

thnZ, Kigkb DciwjjøLZ mKDiiUR AvBb cii cvjtb e`\_Zvi Rb` Jalalabad Securities Limited Gi eive+i GKU show-cause-cum-hearing notice mÎ bs- SEC/Enforcement/1142/2013/458 ZwiL 21 RjvB 2013 Bs tciY Kti 05 AvM÷ 2013 Bs Zwi#L kbvxi w`b avh` Kti Ges avh`KZ Zwi#L Jalalabad Securities Limited Gi ciZibia Dci`Z ntq c#fi gva`g wjLZ e<sup>3</sup>e` `wLj Ktib| hv#Z Ab`vb`i gta`, wtbv<sup>3</sup> weiq, wji DjjøL Av#Q:-

“-----We are extremely sorry for the unusual trade on Bo Id-1204150007678294 on 15<sup>th</sup> May. It is totally unintentional mistake, the fact is, when we generate stock file by mistake non matured file has generated, for this gross mistake the non matured sell has occurred.”

thnZ, Jalalabad Securities Limited Gi wjLZ e<sup>3</sup>e` t`K m`úo cZxqgvb nq th, ibvxi tbwU#ki Awf#hMgn Jalalabad Securities Limited `xKvi Kti#Q hv mKDiiUR AvBb cii cvjtb e`\_Zv weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvqx kw`#hM` Aciva Ges hv tlgvi A#hM`;

thnZ, Kigkb#bi we#Pbvq, mKDiiUR AvBb cii cvjtb DjjøLZ e`\_Zvi Rb`, Z\_v ciRevRv#ii Dbq#bi cvkvcmk evRv#ii ksLjv I `QZv i#vi `#\_D<sup>3</sup> tevKvi/Wjvi tK Rwigvbn Kiv c#qvRb I mgxPxb;

Aci cõvq `õe`-

côv-02

bs-GmBm/Gbtdvmtg>U/1142/2013/

Zmilt

Rvbqvi 2014 Bs

AZGe, tmtnZ, Kigkb, DmjlZ hveZxq weiq wepePbvceK, Securities and Exchange Ordinance, 1969 (Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment) Act, 2000 Øviv ms#kvaZ] G c`E gZve#j Jalalabad Securities Limited Gi Dci 1 (GK) j g UvKv Rvlgvbn avh` Ki j hv AÎ Av#`#ki Zmilt n#Z 15 (c#bi) v`#bi g#a` Øewsjv#`k mmKDmUR I G · #PÄ Kigkbô Gi AbK#j Bm`KZ e`vsK Wvdu/tc-AWv#i i gva`tg Kigkb Rgv Ki#Z n#e|

ewsjv#`k mmKDmUR I G · #PÄ Kigkb#bi Av#`kμtg

Aa`vcK W: Gg Lvqiæj tnv#mb  
#Pqvig`vb

weZibt

Rvjvjvev` mmKDmUR vjvg#UW  
419 gvbix kics mmU (4\_ Zjv),  
#Pšnvev, mm#j U-3100|