

evsjv`k mmiKDiiUR A`vU G · #PÄ Kigkb
Rxb exgv Uv I qvi (14,15,16 I 20 Zjv)
10, w`jKkv ev/G
XvKv-1000

bs-GmBim/Gb#dvm#g>U/1086/2013/ 509

ZwiLt 20 AvMó 2013

Av#`k

#h#nZ, Kigkb, Jalalabad Securities Ltd (mmGmB m`m` bs-104) tK Zvi Av#e`bμ#g, wbaWiZ kZvax#b mmiKDiiUR
μq weμq KgKvÊ cwiPvjv Kivi Rb` mmiKDiiUR I G · #PÄ Kigkb (AZ:ci ÔKigkbô e#j DvjvLZ) mmiKDiiUR I
G · #PÄ Kigkb AvBb, 1993 (1993 m#bi 15 bs AvBb) Gi 10(1) G c`Ë ¶lgZve#j mmiKDiiUR I G · #PÄ Kigkb
(÷K-wjvi, ÷K-#evKvi I Ab#gw`Z ciZibia) weagvjv, 2000 Gi wea 5(5) mn ciVZ ÷K#evKvi/wjvi tiwR#ókb
mmUrd#KU c`vb K#i#Q;

#h#nZ, an inspection on Jalalabad Securities Ltd. was conducted by the Commission vide Commission's Order No. SEC/SRI/INS/CSE-MEM/2010/500 dated September 23, 2012. From the inspection report it appears, among others, that:

- a. It appears from the telephonic order that the said stock-broker did not take any signature of clients nor took any written order as per the provision of rule 4(5) of Securities and Exchange Rules, 1987.
- b. It appears from the consolidated customer's account of Jalalabad Securities Limited that the account is company's SOD (secured overdraft) Account. SOD Account is a loan account which can never be maintained as a consolidated customer's account. That's why it is a contravention of rule 8A(1) of Securities and Exchange Rules, 1987."

#h#nZ, Kigkb Dc#iwjvLZ AvBb cwi cvj#b e`\_Zvi `i#b Jalalabad Securities Ltd. Gi eive#i GKiu show-cause-cum-hearing notice mÎ bs- SEC/Enforcement/1086/2013/234 ZwiL 01 Gicj 2013 tciY K#i Ges 10 Gicj 2013 ZwiL kbvxi w`b avh` K#i Ges ah`KZ ZwiL Jalalabad Securities Ltd. Gi e`e`vcbv cwiPvjK Dc`Z n#q 07 Gicj 2013 ZwiL Gi wPvi gva#g tKv#vbxv wjvLZ e³e` `wLj K#ib| hvZ Ab`v#b`i g#a`, w#b#b³ wclq,wji D#jL Av#Q:-

"It has been mentioned in the section (b) of the show cause notice that the consolidated customers account is Jalalabad Securities Limited's SOD account. It is an SOD account but the account is secured by two fixed deposits. That is company was availing loan against its own money kept in the form of fixed deposit. As against the SEC rules we have already changed the SOD & now the account is an ordinary current account.

As we have rectified our SOD account to an ordinary current account as per SEC rules, we would request you to be kind enough to exempt the Company from the said allegations."

†h†nZ, Jalalabad Securities Ltd. Gi wjwLZ e³e" †_†K m"úó cZxqgvb nq †h, Jalalabad Securities Ltd. †bvbxi
†bwU†ki A†f†hwMmgn "xKvi K†i†Qb hv B"QvKZ Ges rule 4(5) & 8A(1) of Securities and Exchange Rules,
1987 Gi m"úó jsNb weavq section 22 of the Securities and Exchange Ordinance, 1969 Abhvqx kw"
†hvM" Aciva, hv †Kvbfv†eB ††gv†hvM" b†n;

†h†nZ, K†gk†bi we†ePbvq, wmwKDwiwUR AvBb c†icvj†b DwjwLZ e"Zvi Rb", Z_v c†RevRv†ii Dbq†bi cvkvvcwk
evRv†ii ksLjv I "QZv i††vi "v†_ Jalalabad Securities Ltd..†K R†igvbn Kiv c†qvRb I mgxPxb;

AZGe, †m†nZ, K†gkb, DwjwLZ hveZxq we†ePbvceK, Securities and Exchange Ordinance, 1969
(Ordinance No. XVII of 1969) Gi section 22 [hv The Securities and Exchange (Amendment)
Act, 2000 Øviv ms†kw†aZ] G c`È ††gZve†j Jalalabad Securities Ltd. Gi Dci 3 (†Zb) j†† UvKv R†igvbn avh"
Ki j hv A† Av†`†ki ZwiL n†Z 15 (c†bi) w`†bi g†a" 'evsjv†`k wmwKDwiwUR A"vÔ G · †PÄ K†gkb† Gi AbK†j
Bm"KZ e"vsK WdU/†c-AW†ii i gva†g K†gk†b Rgv Ki†Z n†e|

evsjv†`k wmwKDwiwUR A"vÔ G · †PÄ K†gk†bi Av†`kμ†g,

Aa"vcK W: Gg Lvqi"j †nv†mb
†Pqvig"vb

weZibt

Jalalabad Securities Ltd.
(CSE Membership# 104)
Manru Shopping City (3rd Floor)
Chouhatta, Sylhet.

evsjv`k wmwKDwiUR A`vU G · PÄ Kwgkb
Rxeb exgv UvIqvi (14,15,16 I 20 Zjv)
10, w`jKkv ev/G
XvKv-1000

bs-GmBwm/Gbtdvmtg>U/1086/2013/509

Zwvilt 20 AvMó 2013

Av`k

Jalalabad Securities Ltd.
(CSE Membership# 104)
Manru Shopping City (3rd Floor)
Chouhatta, Sylhet.

welq: Av`k|

Kgk+bi 20 AvMó 2013 Zwvilt Li Av`k bs-GmBwm/Gbtdvmtg>U/1086/2013/508 Gi mZ`wqZ
Abwj c Avcbvi AeMwZ I c+qvRbxq e`e`v Mn+bi Rb` GZ`ms+M msh³ Kiv n+jv|

evsjv`k wmwKDwiUR A`vU G · PÄ Kgk+bi c+¶

gxi tgvkviid tnv+mb tPšaix
cwiPvjK (Gbtdvmtg>U)

wEZibt

cÖavb wbe©vnx Kg©KZ©v, PUªMÖvg óK G·PÄ wjwg+UW

AeMwZi Rb` Abwj c:

- 1| wbevn cwiPvjK, AvBb, weGmBwm
- 2| wbevn cwiPvjK, GgAvBGm, weGmBwm
- 3| wbevn cwiPvjK, GmAviAvB, weGmBwm
- 4| tPqvig`vb g+nv`+qi `Bi, weGmBwm

