Bangladesh Securities and Exchange Commission
Enforcement Division
Enforcement Department
Issuer Companies Affairs Section
www.sec.gov.bd

BSEC/Enforcement/3876/2025/ 587 **Dated: April 20, 2025**

KHAN WAHAB SHAFIQUE RAHMAN & CO.
Chartered Accountants
Rupali Bima Bhaban (5th & 6th Floor),
7, Rajuk Avenue, Motijheel
Dhaka-1000

Subject: Warning to Ensure Compliance with All Securities-Related Laws in the Future.

This refers to the Commission's show cause cum hearing notice No. BSEC/Enforcement/3876/2025/288 dated February 06, 2025, based on which the hearing was scheduled and conducted on February 24, 2025.

The Commission, considering the explanation vide letter no. KWSR-N-02/3069 dated February 24, 2025, which was submitted at the hearing, has decided to **warn** you to be careful in the future and also suggested that you be cautious about maintaining due diligence related to securities-related laws. Any divergence of securities-related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibilities of the employee and make them responsible for the lapse found in the above-mentioned show-cause letter.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For the Bangladesh Securities and Exchange Commission,

20.04.2025

Muhammad Sadequr Rahman Bhuiyan
Assistant Director (Enforcement)

Copy for information:

Chairman's Office, BSEC
Executive Director (CAD), BSEC
Executive Director (ICTD), BSEC
Managing Director, Dhaka Stock Exchange Ltd.