



BANGLADESH SECURITIES AND EXCHANGE COMMISSION

Securities Commission Bhaban, E-6/C Agargaon, Sher-e-Bangla Nagar Administrative Area, Dhaka-1207, Bangladesh.

BSEC/Enforcement/2494/2017-225

Dated: March 02, 2020.

By Courier Service

LankaBangla Securities Limited (DSE TREC # 132 & CSE TREC # 091),
23, Motijeel C/A, Dhaka-1000.

Attention: Managing Director/CEO

Sub: **WARNING to ensure compliance of all securities related laws in future.**

This refers to the commission's show cause-cum-hearing notice no. BSEC/Enforcement/2494/2017/567 dated December 19, 2018 based on which the hearing was scheduled on January 14, 2019.

The Commission, considering your explanation vide letter dated January 14, 2019 submitted at the time of hearing, has decided to dispose of the proceedings against LankaBangla Securities Limited (DSE TREC # 132 & CSE TREC # 091) by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the stated default.

For Bangladesh Securities and Exchange Commission

Hafiz
02.03.2020
Hafiz Mohammed Harunur Rashid

Deputy Director (Enforcement)

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Copy for information:

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B
01.06.2020

D (MIS)

B
01.06.20

Mr. Gaur, AD