



বাংলাদেশ সিকিউরিটিজ অ্যান্ড এক্সচেঞ্জ কমিশন
সিকিউরিটিজ কমিশন ভবন
ই-৬/সি আগারগাঁও, শের-ই-বাংলা নগর প্রশাসনিক এলাকা, ঢাকা-১২০৭
www.sec.gov.bd



BSEC/Enforcement/2701/2019/855
Date: November 15, 2020

By Courier Service

Lanka Bangla Securities Limited
DSE TREC Holder Number- 132
DSE Annex Building (1st Floor), Room No. 222
9/E.Motijheel C/A
Dhaka-1000

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the Commission's show cause cum hearing notice number-BSEC/Enforcement/2701/2019/775 dated October 15, 2020 based on which the hearing was conducted on November 02, 2020.

The Commission, considering the explanation vide letter dated- November 02, 2020 which was submitted at the time of hearing, has decided to dispose of the proceedings against Lanka Bangla Securities Limited by placing on record the Commission's dissatisfaction on the default/contravention made by you with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission.

Md. Mustafizur Rahman
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Copy for information:
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