

SEC/Enforcement/1174/2013/ 27
January 09, 2014

By Special Messenger

Lanka Bangla Securities Limited
DSE's Membership No. 132
DSE Annex Building
9/E, Motijheel C/A
Dhaka-1000.
Attention: Managing Director/CEO

Subject: Warning: Non-compliance of Article 10 of the Securities and Exchange Ordinance, 1980 in connection with trading in shares of JMI Syringes & Medical Devices Ltd.

This refers to SEC's show cause-cum-hearing notice No. SEC/Enforcement/1174/2013/ 562 dated October 10, 2013 and your explanation letter no. NIL dated October 30, 2013 submitted to the Commission.

The Commission, considering your explanation, has decided to dispose off the proceedings against Lanka Bangla Securities Limited (Stock Broker) by placing on record the Commission's dissatisfaction on the defaults/contraventions made with a warning to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve Lanka Bangla Securities Limited (Stock Broker) from its lawful responsibilities/obligations to any person, if affected as a result of the subject mentioned default.

For Bangladesh Securities and Exchange Commission

Mustari Jahan
Deputy Director (Enforcement Dept.)

Distribution:

Chief Executive Officer, Dhaka Stock Exchange Limited
Chief Executive Officer, Chittagong Stock Exchange Limited

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