BANGLADESH SECURITIES AND EXCHANGE COMMISSION
Securities Commission Bhaban
E-6/C Agargaon, Sher-e-Bangla Nagar
Administrative Area, Dhaka1207, Bangladesh

BSEC/Enforcement/2878/2020/955
November 26, 2020

By Courier Service

Latif Securities Ltd.(DSE TREC holder no-080)
Room # 310, Stock Exchange Building, 9/F, Motijheel C/A, Dhaka-1000.
Mobile: 01714777777 , 01711-530281

Attention: Managing Director/CEO

Subject: Warning to ensure compliance of all securities related law in future.

This refers to the show cause cum hearing notice No. BSEC/Enforcement/2878/2020/391 August 12, 2020 of the Commission based on which the hearing was conducted on August 25, 2020

The Commission, considering the explanation vide letter dated August 25, 2020 submitted at the time of hearing and subsequent settlement of shares, has decided to dispose of the proceedings against you by placing on record the Commission's dissatisfaction on the default/contravention made by you with a **warning** to ensure compliance of all securities related laws in future.

Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of the default.

For Bangladesh Securities and Exchange Commission-

26.11.20

Md. Shahnows ACMA
Assistant Director

Mob:01816810586

Copy for information:
Chairman's office, BSEC
P.O to Commissioner (Enforcement), BSEC
P.O to Executive Director (MIS), BSEC
P.O to Executive Director (Surveillance), BSEC
P.O to Director (Enforcement), BSEC